

Persons or Entities with Greater Than 20% Ownership in the Organization

Note: If the Organization is a U.S. publicly traded company or is registered with a U.S. financial regulatory agency (SEC, CFTC, etc), you do not need to provide the following information.

Owners Name

Percentage of Ownership

Date of Birth (mm/dd/yyyy, if individual)

Street Address

City, State/Province

Country, Zip/Postal Code

Country of Citizenship or Formation (if entity)

U.S Social Security Number if Applicable

If the shareholder is an individual and is NOT a U.S resident, please check which form of identification owner uses:

- Driver's license
- Alien Identification
- Passport
- National Identity Card
- Country of Issuance

Number:

Tax ID No. (if shareholder is an entity)

Country of Issuance

REQUIRED DOCUMENTATION

Document Category	Acceptable Documents (only one document per category is needed)
If owner is an Individual If you are not a US resident, please check which form of identification you will be providing (you must provide a copy of one of the following):	<ul style="list-style-type: none">• Driver's License• Alien Identification Card• Passport• National Identity Card• Country of Issuance:
If owner is an entity - Proof of your firm's existence (The document must clearly state the name of your firm)	<ul style="list-style-type: none">• Articles of Incorporation• Company Charter• Partnership Agreement• Govt-issued Business License• Govt-issued Certificate of Good Standing from the Jurisdiction of Incorporation.• If a fund, the fund's Offering Document